

**Appendix K: Sample Certification that Rail Transit Agency  
System Safety Program Plan and System Security Plan  
Have Been Developed, Reviewed, and Approved**

**Initial Submission**  
**[Certification that Required System Safety Program Plan and System**  
**Security Plan from Each Affected Rail Transit Agency Have Been**  
**Developed, Reviewed, and Approved]**

Date \_\_\_\_\_

United States Department of Transportation  
Federal Transit Administration  
Office of Safety and Security  
400 7th Street, S.W.  
Washington, D.C. 20590

I, [Insert Name], [Insert Title], certify that [Name of State Oversight Agency] has required, received and reviewed a System Safety Program Plan and a System Security Plan from each rail transit agency within the State of [Insert Name of State]'s jurisdiction. These rail transit agencies include: [Insert Name of Affected Rail Transit Agency] and [Insert Name of Affected Rail Transit Agency].

I further certify that the System Safety Program Plans and System Security Plans received from [Insert Name of Affected Rail Transit Agency] and [Insert Name of Affected Rail Transit Agency] have been approved by [Name of State Oversight Agency] as compliant with the requirements specified in 49 CFR Part 659 (Rail Fixed Guideway Systems; State Safety Oversight Rule, April 29, 2005) and the requirements identified in the [Name of State Oversight Agency]'s Program Standard and Referenced Procedures.

As further evidence of this certification, attached to this letter please find the [Name of State Oversight Agency]'s completed System Safety Program Plan and System Security Plan Review Checklists for [Insert Name of Affected Rail Transit Agency] and [Insert Name of Affected Rail Transit Agency].

Should you have any questions or require any additional information, please do not hesitate to contact me at [Insert phone number and email address].

Signed: \_\_\_\_\_  
(Name and Title)